

**Research Council Meeting  
April 6, 2011, 3:30 – 5:00 p.m.  
University Center, Executive Dining Room, Room 337**

**FINAL**

**Members, Ex-officios, & GSS Representatives Attending:**

Ken Stephenson	Lee Han
Chris Boake	Jennifer Hendricks
Jim Conant	Baoshan Huang
JoAnne Deeken	Yuri Kamyshkov
Martin Griffin	Bob Muenchen
Joanne Hall	Ken Phillips

**Guest Attending:**

Carol Malkemus, Kelly Steele, and Thomas Handler

**Opening Remarks by Ken Stephenson, Chair**

The March 2, 2011 minutes were unanimously approved as submitted and will be posted on the web page.

Ken Stephenson welcomed everyone to the meeting. Wes Hines and Greg Reed were absent due to off campus meetings.

Ken Stephenson gave an update on the Vice Chancellor for Research position based on information he received from Wes Hines: namely, that the search was not successful and will be restarted.

There was a brief discussion on the consequences if the US Government shuts down on April 8, 2011 and the impact it will have on the University of Tennessee and the possibility of some funds having to be returned on grants through federal agencies.

Greg Reed sent the following information for Ken Stephenson to announce at today's Research Council Meeting:

49	SARIF Summer Graduate Research Assistantship Applications Received
25	SARIF Summer Graduate Research Assistantships Awarded
119	Summer Undergraduate Internship Applications Received
119	Summer Undergraduate Internships Awarded (\$2,000 each award)

EUReCA

301	Students Participated
187	Entries

56	Entries Received Awards
----	-------------------------

Chris Boake discussed EURECA. The number of participants went up this year. Judges mentioned the uniformly high quality on the posters and judging was difficult.

Jim Conant discussed the committee's evaluation process for the SARIF Summer Graduate Research Assistantships. The committee did not have any questions about the guidelines. There were a lot more eligible applications than funds available.

**New Business:**

Yuri Kamyshev presented the following motion on improved support for the Research Office from F&A.

*UTK's Office of Research (OR) plays an important role in the stimulation and organization of research and in finding new research opportunities for our faculty and students. OR organizes and supports various existing and new research initiatives, e.g. participation of undergraduate students in the research. It provides campus researchers with advice, new solicitation alerts, help with the proposal preparation, organization of seminars on the improvement of proposal writing skills, etc. that increase the success of our proposals with funding agencies and, as a result, increase F&A income at UTK.*

*The Research Council believes that some small fraction of the generated F&A income (at the constant level of say ~2%) should be controlled by the Office of Research to be used for more effective stimulation of research at UTK and for providing to more faculty and students incentives for being involved in research.*

*This is an existing practice at several universities in the country. In passing this motion, the Research Council recommends that the Chancellor's office redirect a fraction of overall F&A income (exact % fraction can be worked out in discussion with administration) to the control of the Office of Research for the stimulation of research at UTK.*

There was a lengthy discussion on this proposed motion: how much F&A should go to the Office of Research; how much F&A should administration keep; what F&A funding should and should not be used for; the negotiation of F&A rate; and the importance of F&A. The Research Council members decided that Yuri should reword the second paragraph of the proposed motion to clarify the statement about how much of the generated F&A income should go to the general fund of the university and that any additional percentage should not be taken from the current allotment to colleges. Ken Stephenson suggested adding the phrase "discretionary funds" after "Office of Research" in the second paragraph. Yuri will revise the motion, discuss the revised text with Greg Reed and Wes Hines, and present the result at the next Research Council Meeting to be voted on.

Carol Malkemus will compare the University of Tennessee's F&A range to VA Tech's policy and what it supports. Carol explained that the base year for the next F&A rate invitation is this year so her office will be working with the Controller's Office this year. New rates will be

coming out in approximately one year. The F&A rates are negotiated about every 3 – 5 years. The F&A rates are based on the type of award - campus/ off campus.

Bob Muenchen, IT Manager, gave a presentation on the services OIT offers to the university faculty, staff, and student and a recent survey. The presentation will be posted on the Research Council's website. Bob will be happy to go to departments and give this presentation. He also encouraged everyone to read their newsletter ([http://itc.utk.edu/newsletter\\_monthly/](http://itc.utk.edu/newsletter_monthly/)) to keep up-to-date on what is available through OIT.

Jennifer Hendricks, Chair of the Policy on Misconduct in Research and Service, presented a proposal from the committee on misconduct in research and service and explained changes/additions that the committee is proposing. The Research Council members had a lengthy discussion about the proposed policy.

The Research Council members made the following suggestions: revise Item J, second paragraph, to encourage breadth in the committee composition, including perhaps someone not involved with the fundamentals of the type of research in the misconduct case, but qualified to make a just analysis of the complaint; revise Item B, first paragraph with language about directing students to *Hill Topics* where misconduct by students is addressed; and find models at other universities about clean-up procedures following confirmed misconduct, as concerns withdrawing publications, for example.

Jennifer Hendricks will make suggested revisions to the Policy on Misconduct in Research and Service proposal and present it at the next Research Council Meeting.

Tom Handler will present a motion to increase the number of Chancellor Awards at the next Research Council Meeting.

Meeting adjourned at 5:00 p.m. The next meeting will be on May 4, 2011.

Respectfully submitted,  
Jane Taylor